# Request for Application (RFA)

## Health Policy Plus Project

<table>
<thead>
<tr>
<th><strong>RFA Title</strong></th>
<th>Supply Chain and Accountability for Family Planning/Reproductive Health</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>RFA Number</strong></td>
<td>HPP-RFA-2021-01</td>
</tr>
<tr>
<td><strong>Date of Issuance</strong></td>
<td>February 15, 2021</td>
</tr>
<tr>
<td><strong>Closing Date for Questions</strong></td>
<td>March 1, 2021 (all questions should be sent via email to <a href="mailto:AccountabilitySupplyChainRFA@rti.org">AccountabilitySupplyChainRFA@rti.org</a>)</td>
</tr>
<tr>
<td><strong>Pre-Application Meeting (via Zoom)</strong></td>
<td>March 4, 2021</td>
</tr>
<tr>
<td><strong>Responses to be Published (sent to the applicant via email)</strong></td>
<td>March 5, 2021</td>
</tr>
<tr>
<td><strong>RFA Closing Date</strong></td>
<td>March 14, 2021</td>
</tr>
<tr>
<td><strong>Anticipated Award Date</strong></td>
<td>April 28, 2021</td>
</tr>
<tr>
<td><strong>Duration of funding/Period of Performance</strong></td>
<td>April 28, 2021 – December 14, 2021</td>
</tr>
</tbody>
</table>
OVERVIEW OF THE FUNDING OPPORTUNITY

USAID’s Health Policy Plus (HP+) Project (hereinafter referred to as HP+ or Project) implemented by a consortium led by Palladium, with RTI International as one of its subcontractors, seeks applications from local organizations in Uganda and Tanzania to develop, strengthen or expand innovative social accountability solutions to improve family planning/reproductive health (FP/RH) supply chain issues through an innovation grant.

This RFA provides prospective applicants with a fair opportunity to develop and submit competitive applications to the Project for potential funding. This RFA encourages women-owned and operated organizations to apply for the grant.

A single grant is expected to be awarded under this RFA. The grant will be awarded and implemented in accordance with USAID and US Government regulations, and the Project grant management policies and procedures. Please see the detailed description of the application requirements in “Section III Application Merit Review Criteria” below.

Grant activities will be conducted in Uganda or Tanzania.

To ensure uniform disclosure to the potential applicant, clarification questions should be submitted by email to AccountabilitySupplyChainRFA@rti.org before March 1, 2021, 17:00 o’clock East Africa Time (EAT). The Project will not respond to telephone inquiries. Responses to the questions will be sent to the applicant by March 5, 2021 via email. The table below shows the RFA timelines:

<table>
<thead>
<tr>
<th>RFA Timeline</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
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<td>April 28, 2021</td>
</tr>
</tbody>
</table>

Issuance of this RFA does not constitute an award commitment on the part of the Health Policy Plus Project nor does it commit the Project to pay for costs incurred in the preparation and submission of an application. Further, the Project reserves the right to reject any or all applications received. Similarly, an invitation to clarify your application is not a commitment to fund that application, nor reimburse any costs incurred during the preparation of the application.

The Project grant review and approval process eliminates the possibility of any unilateral decision of any given application. The Project staff will not ask for, and applicants are prohibited from offering, any money, fee, commission, credit, gift, gratuity, thing of value, or compensation to obtain or reward improper favorable treatment regarding this solicitation. Any improper action from a project employee should be reported to ethics@rti.org

Sincerely,

Alyson Lipsky
Project Manager
SECTION I. OBJECTIVES AND SCOPE

The Health Policy Plus (HP+) Project is a seven-year (August 2015 – August 2022) program funded by the United States Agency for International Development (USAID) and implemented by Palladium in partnership with RTI International, Avenir Health, Futures Group Global Outreach, Plan International USA, Population Reference Bureau, The White Ribbon Alliance for Safe Motherhood and ThinkWell. The Project aims to advance equitable and sustainable health programming in HIV, maternal and child health, and family planning (FP) through policy design, implementation, and financing. This goal will be achieved by accomplishing four results:

1. Health policies are developed, adopted, implemented, and monitored that improve equity, access, availability, affordability, and acceptability of quality health services, supplies and information.

2. Sustainable, predictable, and adequate financing for programs and health policy implementation increased.

3. Policy environment for public stewardship, accountability, and transparency improved.

4. Sustainable development goals are advanced through global leadership and advocacy.

BACKGROUND

At the 2012 London Summit, the global community committed to enabling 120 million additional women and girls in the world’s 69 poorest countries to access and use contraception by 2020. While countries have made strong gains, FP/RH commodity security remains a serious challenge, particularly at the 'last mile.' Key to reaching improved commodity security is a strong RH supply chain bolstered by an effective logistics management system that ensures that the right quality product, in the right quantities, and in the right condition is delivered to the right place, at the right time, for a reasonable cost. Figure 1 shows the supply chain, from product selection through to dispensing health supplies to the end user. As the figure represents, the supply chain is complex, with various actors, using various information systems, across various levels of the health system.
USAID experience demonstrates that there are many key challenges within the health sector, including FP/RH programming and their supply chains:

- Lack of government accountability to honor resource allocation commitments and/or challenges honoring resource allocations
- Lack of citizens’ understanding of their rights
- Poor relationships between governments and civil society organizations; lack of formal mechanisms or structures to support these relationships
- Lack of government incentive to prioritize supply chain issues or certain vertical supply chains
- Lack of empowerment and agency among marginalized communities
- Lack of accountability among those working in the health care system
- Social and economic conditions that make it difficult for vulnerable and marginalized populations to hold duty bearers to account
- Gender inequality.

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These challenges can occur at a range of junctures across the supply chain, though perhaps most notably felt at the health facility level, where communities interface regularly with the health system for health services and commodities. In cases where commodities are stocked out, expired, or not kept in the right storage conditions, clients seeking commodities can be adversely impacted. In these circumstances, clients may have to switch to another family planning method, or have to obtain the commodity elsewhere, often accompanied with cost and access challenges.

As government health management teams, health providers, donors, implementing partners and the private sector work together to ensure a strong supply chain for FP/RH, there is a growing space for civil society to become more involved in ensuring these supply chain components are working the way they should. Just as citizens have a right to receiving high quality health services from providers, they too have a right to ensure they are receiving the right product, at the right time, in the right condition, at the right cost.

Increasingly, local stakeholders are implementing social accountability mechanisms such as budget and expenditure reviews, joint accountability assessments, community scorecards, and others in the FP/RH sector. In addition, countless innovators across sub-Saharan Africa are developing tools, approaches and programs that leverage digital technology for social change. However, few organizations are working at the nexus of FP/RH, digital innovation, and FP/RH supply chain.

PURPOSE

To that end, RTI International seeks to award an innovation grant to a local organization to develop a new concept or expand or scale up an existing solution to strengthen social accountability for FP/RH supply chain. Ultimately, the solution itself must provide FP users within a local community or community groups (i.e. facility health committees, mother’s groups, CSOs, etc.) with the ability to oversee the FP/RH supply chain with greater visibility and insight. Preference will be given to digital solutions with potential for scale-up (beyond the scope of this Award).

For the purpose of this Award, the following definitions are used:

Social accountability interventions are defined as the approaches, mechanisms, tools and activities designed to strengthen the capacity of citizens to monitor and hold duty bearers to account, and to enhance the capacity of governments and providers to respond to the needs of the communities they serve.2

Innovative solution is defined as any promising idea with the potential to bring about positive change. It does not necessarily mean a radical departure from existing practice, but rather, new or more effective approaches to the ‘old way’ of doing things. This grant can be used to develop a new approach or to catalyze existing solutions and take them further (replicability or scalability).

Local organizations can be defined by USAID’s New Partnership Initiative3 list of potential partners: education, research institutions, cooperative, faith-based organizations, private sector firms, non-governmental organizations and not-for-profit organizations.

TECHNICAL REQUIREMENTS

At the end of the six months, the Awardee will have developed and implemented, expanded an existing

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3 https://www.usaid.gov/npi/npi-key-definitions
solution to strengthen social accountability that enables FP users and groups to provide feedback and/or oversight through a mechanism that allows for greater dialogue with government around RH/FP supply chain issues.

1. **Kick-off**

Awardee will mobilize its team and conduct a kick-off meeting once the Award has been made. The workplan will be reviewed and revised by the wider team as necessary. The HP+ Technical Advisor will attend virtually, where feasible. Awardee will work with the HP+ team to develop a capacity development plan. This plan will outline specific areas of technical support to be provided by HP+ over the course of the Award.

2. **Design considerations**

Awardee will undertake its activities, according to the agreed upon workplan, for a period of six months. HP+ will provide regular (likely weekly) technical support to the Applicant through virtual platforms (Skype, Zoom, Whatsapp, etc.).

This RFA has left the scope of work’s approach purposefully generic to allow for solutions (and activities needed to design and implement the solution) to be provided by the Applicant. This allows for greater flexibility in creativity of proposed approaches that are relevant to the Applicant’s context. In developing and/or expanding or scaling up a solution, the following principles and/or elements may be considered (but not limited to):

- Participatory approaches in the design, execution and/or refinement of the solution
- Engagement through existing forums/channels for the purpose of integration
- Training of local or community groups to use the solution
- Analysis and dissemination of the use of the solution to inform social accountability structures or mechanisms in their decision-making and/or oversight function
- Ensuring linkages of the solution to wider channels (e.g. upstream with national or regional structures)
- Sensitisation of local or community groups, or government, on the benefit of using the solution
- Refinement of the solution based on user feedback.

3. **User feedback**

Awardee will develop a short survey to gain an understanding on use, acceptability and benefit (and/or challenges) of the solution by users. Awardee will be expected to outline how they intend to gather this information. At least 1-2 case studies are recommended to supplement this survey data. User feedback will be conducted once the innovation has been operationalized.

4. **Local dissemination of solution**

Awardee will be responsible for preparing and conducting local dissemination of their solution and results to a wider group of stakeholders. Awardee may decide the most appropriate forum and stakeholders for this dissemination. This local dissemination will be conducted once the solution has been tried/tested and/or operationalized. Where feasible, the HP+ team will participate in person (e.g. HP+ Country Director) or virtually via Zoom or any other comparable platform.

5. **Reporting**

Awardee will be responsible for submitting monthly reports of all activities to the HP+ Technical Advisor using templates provided by HP+. In addition to routine reporting, Awardee will be responsible for
submitting reports upon completion of the Award. Awardee will also be expected to prepare a final report, which will include next steps for receiving or seeking additional funding for continued scale-up of the innovation/approach, where relevant. The reporting timelines must be strictly enforced to be compliant with USAID reporting regulations. The reports include:

<table>
<thead>
<tr>
<th>N</th>
<th>Reporting</th>
<th>Timing</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td><strong>Narrative progress reports</strong>: describes the progress and covers all components of the SOW, including monitoring and evaluation plan; setbacks; shifts in strategy, unexpected outcomes.</td>
<td>Monthly</td>
</tr>
<tr>
<td>2</td>
<td><strong>Final Report</strong>: results achieved; progress against workplan; user feedback; lessons learned; next steps for receiving additional funding and handover plan to local actors (if relevant).</td>
<td>Upon conclusion of activities</td>
</tr>
<tr>
<td>3</td>
<td><strong>Inputs provided for news story for the website and/or blog posting</strong>: Awardee will be requested to submit inputs for a short news story for the website and/or a blog that will be prepared by the HP+ Team.</td>
<td>Upon conclusion of activities</td>
</tr>
</tbody>
</table>

6. **Close-out**

Awardee will ensure its activities have been concluded and handed over to relevant partners or stakeholders, where relevant.

The HP+ Technical Advisor will be the Awardee’s point of contact for all technical activities. Specific issues related to the grant will be discussed with the Project’s Grant Specialist.

**TIMELINE**

<table>
<thead>
<tr>
<th>Task</th>
<th>Anticipated Timeline</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>April – December 2021</strong></td>
<td></td>
</tr>
<tr>
<td>Signing of Grant</td>
<td>April 28, 2021</td>
</tr>
<tr>
<td>Kick-Off Meeting</td>
<td>After April 28, 2021</td>
</tr>
<tr>
<td>Key activities and milestones</td>
<td>May – October 2021</td>
</tr>
<tr>
<td>Regular interface with HP+ Technical Advisor for technical updates, support, etc.</td>
<td>May – October 2021</td>
</tr>
<tr>
<td>Conduct user feedback</td>
<td>October/November 2021</td>
</tr>
<tr>
<td>Local dissemination of solution and/or approach</td>
<td>October/November 2021</td>
</tr>
<tr>
<td>Monthly routine reporting</td>
<td>May – October 2021</td>
</tr>
<tr>
<td>Final Report</td>
<td>By December 2021</td>
</tr>
</tbody>
</table>
SECTION II. APPLICATION AND SUBMISSION INFORMATION

Instructions to Applicants

1. Questions and Further Assistance
HP+ will host an Orientation Zoom meeting on February 18, 2021 at 15:00 o’clock EAT. Applicants should confirm participation in the Zoom meeting to AccountabilitySupplyChainRFA@rti.org by March 3, 2021, 18:00 o’clock EAT.

Questions concerning this RFA must be submitted in writing via email to AccountabilitySupplyChainRFA@rti.org (with HPP-RFA-2021-01 in the email subject line as a reference) on or before the deadline for receipt of questions stated on the cover letter of this RFA. Questions will not be accepted by any other means. It is the responsibility of the Applicant to monitor all correspondence related to the RFA and reply to the emails published by the Project within the set deadlines. Please read this RFA in its entirety and ensure that your response addresses all of the items listed in the Technical Application Instructions and in the Evaluation Criteria.

2. Submission Information
All documents requested by the RFA shall be submitted in an electronic format (via email with HPP-RFA-2021-01 in the email subject line as a reference) to AccountabilitySupplyChainRFA@rti.org email address according to the timeline guidelines listed in the cover letter of the RFA. Application will be considered valid and official only in cases where it was submitted to the listed email address. The submission deadline is March 14, 2021, 18:00 o’clock EAT. Late applications will not be considered.

Applicants should submit the following:

   a. Grant Application & Award Form – Annex A
   b. Technical Approach & Implementation Plan
   c. Monitoring & Evaluation (M&E) Plan Template – Annex B
   d. Budget and Cost Narrative – Annex C
   e. Certification Forms – Annex D
   f. Instructions to Obtaining the DUNS Number – Annex E

a. Grant Application & Award Form
Applicants must fill out and submit the Grants Application and Award Form (Annex A). Please note that all sections of the form have to be filled out, including the Organizational Control Environment Questionnaire.

b. Technical Narrative
Applicants must provide a technical narrative (no more than 3 pages) to be supported by the grant. The description must demonstrate the Applicant’s understanding of the Objectives and Scope, detailed in the SECTION I of the RFA, and outline the Applicant’s technical approach to develop a new initiative or expand or scale up an existing initiative/platform to strengthen social accountability for FP/RH supply chain. In addition to outlining the technical approach, the technical narrative should also include a description of any digital tools and/or technology that will be used, organizational capacity, value for money and sustainability. Preference will be given to Applicants that propose to use a digital solutions with the potential for scale-up (beyond the scope of this Award). Please note that the latter represents one of the merit review criteria and will be weighted by the grant’s application review committee established by the Program.
c. Implementation Plan
Applicants should include a detailed workplan with key activities to show how the anticipated results will be achieved and over what timescale. The implementation plan can be submitted in either Word or Excel format, no more than 1 page.

d. Monitoring & Evaluation (M&E) Plan Template
Applicants are responsible for developing a brief M&E Plan, including relevant indicators that will be measured on a monthly basis. (These indicators should be Specific, Measurable, Attainable, Relevant and Time-bound (SMART). The reporting template (table) is provided in the Annex B. The table must be included in the monthly progress reports submitted to the Project. Please note that an individual may only be counted once.

e. Budget & Payment Terms
Applicants shall submit a detailed budget for a total of $40,000 accompanied by a cost narrative, using the template provided in Annex C. The cost narrative should detail how they will allocate the grant funds during the grant term (through June 2021), including the proposed level of effort staff who will work on the project. Applicants should propose a budget that is comprehensive and cost-effective and reflect realistic costs. Applicants should ensure that the rates provided are reasonable and appropriate. Grant funds include operational costs related to the provision of the proposed activities, including personnel, relevant materials, supplies, financial administration, and other costs directly related to implementing the project.

Payments will be made based upon the submission and acceptance of specific verifiable milestones. These milestones include the following and will be finalized prior to the execution of the grant award.

<table>
<thead>
<tr>
<th>Milestone</th>
<th>Timeline</th>
<th>Deliverable</th>
<th>Grant Amount (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Milestone 1</td>
<td>April 2021</td>
<td>Kick-off meeting minutes; revised workplan; capacity development plan submitted to HP+ and approved</td>
<td>40%</td>
</tr>
<tr>
<td>Milestone 2</td>
<td>July 2021</td>
<td>3 monthly reports submitted to HP+ and approved</td>
<td>20%</td>
</tr>
<tr>
<td>Milestone 3</td>
<td>August 2021</td>
<td>2 monthly reports submitted to HP+ and approved</td>
<td>20%</td>
</tr>
<tr>
<td>Milestone 4</td>
<td>December 2021</td>
<td>Final report + inputs for website story and/or blog submitted to HP+ and approved</td>
<td>20%</td>
</tr>
</tbody>
</table>

RTI is not responsible for any costs associated with the development of applications in response to this RFA. All costs incurred in the preparation and submission of applications will be for the account of Applicants and will not be reimbursed. All grant activity costs must be within the normal operating practices Applicants and in accordance with written policies and procedures. In addition, costs must be compliant with 2 CFR 200 Subpart E, Cost Principles (https://ecfr.io.Title-02/sp2.1.200.e).

a. Certification Forms – Annex D
All USAID grants recipients are required to sign and submit certifications. The RFA incorporates certifications required for the type of organization, level/type of funding, flow down from the Prime award, or work that will be performed for the grant. The certification forms required to be submitted by the applicant along with the Application Form are listed in the Annex D.

b. Instructions to Obtaining the DUNS Number – Annex E
If Applicant does not have a Data Universal Number System (DUNS) number, use this Dun & Bradstreet (D&B) online registration process to receive one free of charge. The DUNS number is required for all grant recipients who receive grants in the amount of $25,000 or more. Instructions for obtaining the DUNS
number are detailed in Annex E. The DUNS number is a unique nine-character number that identifies your organization. It is a tool of the federal government to track how federal money is distributed. Most large organizations, libraries, colleges and research universities already have DUNS numbers. Ask your grant administrator or financial officer to provide your organization’s DUNS number.

SECTION III. APPLICATION MERIT REVIEW CRITERIA

Full applications will be evaluated against the merit review criteria as shown below:

<table>
<thead>
<tr>
<th>Merit Review Criteria</th>
<th>Maximum Points Available</th>
</tr>
</thead>
<tbody>
<tr>
<td>Technical Approach</td>
<td>40</td>
</tr>
<tr>
<td>Institutional Capacity</td>
<td>15</td>
</tr>
<tr>
<td>Value for Money</td>
<td>10</td>
</tr>
<tr>
<td>Sustainability</td>
<td>5</td>
</tr>
<tr>
<td>Implementation Plan</td>
<td>20</td>
</tr>
<tr>
<td>Monitoring and Evaluation Plan</td>
<td>10</td>
</tr>
</tbody>
</table>

These merit review criteria elements are described in more detail below:

**Technical Narrative (no more than 3 pages)**

I. **Technical Approach**: Applicants must provide a description of the technical approach to be supported by the grant (40 points). The description must demonstrate the following:

- **Understanding of the Scope of Work**: detailed in the SECTION I of the RFA
- **Context**: description of the overarching landscape which affects why the solution/approach for improving social accountability for FP/RH supply chain has been proposed.
- **Justification**: identify the issue and outline how the approach will strengthen social accountability or address the social accountability challenge. The proposed solution/approach should allow for greater interaction with users/clients/CSOs at local level to ensure greater social accountability. The proposed solution/approach should be well justified with a strong evidence base (theoretical or application-based).
- **Expected outcome**: change expected, and how it will affect certain populations/groups in the targeted region.
- **Overarching strategy and approach(es)**: what activities, processes or strategies are essential to achieve the results? Why will the planned work lead to the intended results?
- **Stakeholder engagement**: how the applicant plans to coordinate the proposed approach with identified local stakeholders to ensure their capacity is strengthened to use the solution, playing a more effective role in FP/RH supply chain accountability oversight.
- **Linkages**: how/if this solution will leverage existing initiatives, either to build off what is already there or become integrated for greater buy-in/sustainability.
- **Targeted geographic region**: for intervention and reasons they have been targeted.
- **Digitalization and/or Use of Technology**: additional points will be provided to applicants that propose to use a digital solution to strengthen social accountability for the FP/RH supply chain. Digital solutions that have the potential to be further scaled up and/or replicated will be awarded more points.

II. **Institutional Capacity**: Applicants should describe the organization’s overall institutional capability and resources (finances, personnel), in meeting the general requirements of the Scope of Work. Applicants should describe its prior work in a related field or activity. The information on performance should be provided in a table included in the relevant section of the Grants Application and Award Form (Annex A). In case the applicant has no past experience
related to receiving grants from a USAID funded project, the applicant can list experience with other donors or programs funded by the Government of Uganda or the Government of Tanzania (15 points).

III. **Value for Money:** The criteria include evaluation of the budget provided by the applicant as well as the degree to which budgeting is clear, reasonable and reflects best use of resources. Maximizing use of existing resources and/or examples of cost-sharing should also be provided (10 points).

IV. **Sustainability:** Explain how the approach has been designed to ensure that its benefits will continue beyond the period of funding. Applicant should also include whether there is a plan for pursuing additional funding for longevity beyond the period of funding and/or handing over the innovation/approach to local stakeholders (5 points).

**Implementation Plan** (reporting template provided in Annex F)
Applicants should include a detailed workplan to show how the anticipated results will be achieved. What activities, processes or strategies are essential to achieve the results? Why will the planned work lead to the intended results? What is the anticipated timetable for the achievement of the results? (20 points).

**Monitoring and Evaluation Plan** (reporting template provided in Annex B)
Applicants should demonstrate a realistic, but brief plan for monitoring, tracking and reporting performance, including a plan for collection, evaluating and validating performance data. The plan should contain a set of indicators that would permit continuous measurement of progress toward targeted results. For each result, what is the performance indicator? What is the baseline data? What is the performance target? What is the timetable for achieving results? For each performance indicator, what is the definition and what is the unit of measurement? What are the plans for reporting and using the performance information? The M&E Plan should also outline how the Applicant intends to gather and measure beneficiary feedback. (10 points).

**SECTION IV. AWARD AND ADMINISTRATION INFORMATION**

A. **Award Information**

1. **Program Duration**
The duration of the activities funded under this RFA will be from signing of the grant (anticipated in April 2021) through December 2021.

2. **Anticipated Funding Availability**
Funding for this grant opportunity is available under the USAID Cooperative Agreement AID-OAA-A-15-00051. All grants will be negotiated and funded in US dollars. All costs funded by the grant must be allowable, allocable, and reasonable. Grant applications must be supported by a detailed and realistic budget.

3. **Type of Award and Payment**
Grants awarded under this RFA will be Fixed Amount Awards (FAAs). RTI shall retain the right to terminate the grant activities unilaterally in extraordinary circumstances. The grant may be terminated at a date earlier than the grant activity completion date under the following conditions, but not limited to:

- Significant change in the scope of work: if the requirements of USAID or the program change such that the work to be completed varies significantly from the proposed activities.
- Reduction or termination of donor funding: in the event USAID reduces funding or terminates funding earlier than the Grant Activity Completion Date.
- As a requirement of the donor: in the event the donor requests early termination of the grant.
• Significant delays or external or internal challenges which have a material impact on the ability of the grantee to implement the activities.

RTI will make payments based on submission and acceptance of specific verifiable milestones. Once an award is issued, it will include a fixed price payment schedule with milestones and deliverables negotiated during the final application and award process.

B. Applicant Eligibility

Applicants may be: education, research institutions, cooperative, faith-based organizations, private sector firms, non-governmental organizations and not-for-profit organizations, as defined by USAID’s New Partnership Initiative. All applicants should:

• Be formally constituted at the time of grant award
• Be compliant to Ugandan or Tanzanian regulations (submit tax compliance certificate or equivalent)

Grant awards resulting from this solicitation will be required to provide a Data Universal Numbering System (DUNS) number at the time of award. If the Applicant already has a DUNS number, it should be included in the application. Otherwise, Applicants will be expected to obtain a DUNS number before an award is made. DUNS numbers may be obtained online at

http://fedgov.dnb.com/webform/pages/CCRSearch.jsp

Applicants must display sound management in the form of financial, administrative, and technical policies and procedures and present a system of internal controls that safeguard assets, protect against fraud, waste, and abuse, and support the achievement of program goals and objectives. USAID Basic Education Program will assess this capability prior to awarding a grant. Any falsification of proposal information may result in rejection/cancellation of the award.

C. Authority / Governing Regulations

RTI awards are issued in the form of grants under cooperative agreement and made under the authority of the U.S. Foreign Affairs Act and USAID’s Advanced Directive System (ADS) 303. Grantees are expected to comply with the provisions of these guidelines and regulations, as applicable. USAID ADS 303 can be accessed at

http://www.usaid.gov/ads/policy/300/303

2 CFR 200 is not directly applicable to non-U.S. organizations; however, USAID applies some of these regulations to non-U.S. organizations through ADS 303 and the Standard Provisions (USAID ADS 303.3.1). 2 CFR 200 can be accessed at  https://www.ecfr.gov/cgi-bin/text-idx?SID=12ac87ccfe859ca4c02abc695baa6227&mc=true&node=pt2.1.200&rgn=div5

D. False Statements in Applications

Applicants must provide full, accurate, and complete information as required by this solicitation and its attachments.

E. Conflict of Interest Clause

Applicants must provide disclosure of any past, present, or future relationships with any parties associated with the issuance, review, or management of this solicitation and anticipated award. Failure to provide full and open disclosure may result in RTI having to re-evaluate selection of an applicant.

F. Prohibited Goods and Services

Under no circumstance shall the recipient procure any of the following under this award, as these items are excluded by the Foreign Assistance Act and other legislation which govern USAID funding. Programs which are found to transact in any of these shall be disqualified:

• Military equipment
• Surveillance equipment
• Commodities and services for support of police of other law enforcement activities
• Abortion equipment and services
• Luxury good and gambling equipment
• Weather modification equipment

G. Restricted Goods
The following costs are restricted by USAID and require prior written approval from RTI and USAID to be allowable costs:
• Agricultural commodities
• Motor vehicles
• Pharmaceuticals
• Pesticides
• Fertilizer
• Contraceptives
• Used equipment
• Immovable property

H. Disclaimers
• RTI may cancel this solicitation and not award.
• RTI reserves the right to accept or reject any or all applications received.
• Issuance of solicitation does not constitute award commitment by RTI.
• RTI reserves the right to disqualify any application based on applicant failure to follow solicitation instructions.
• RTI will not compensate applicants for response to solicitation.
• RTI reserves the right to issue award based on the initial evaluation of applications without further discussion.
• RTI reserves the right to ask for further clarifications from the applicants or negotiate for adjustments on the nature, scope of work, or scale of the funds prior to the award determination to promote competition.
• RTI may contact applicants to confirm contact person, address, and that the bid was submitted for this solicitation.

The following Annexes are included with this RFA:

ANNEX A: Grant Application & Award From
ANNEX B: Budget and Cost Narrative
ANNEX C: Certification Forms
ANNEX D: Instructions to Obtaining the DUNS Number
ANNEX E: Implementation Plan Template