Federal Acquisition Regulation (FAR) Clauses

This Purchase Order/Consultant Contract/Subcontract involves funds from a Federal government contract, or funds from a subcontract relating to a Federal government contract, relevant clauses from the Federal Acquisition Regulation (FAR) are hereby incorporated into this Purchase Order/Consultant Contract/Subcontract by reference with the same force and effect as if they were given in full text, and are applicable to this Purchase Order/Consultant Contract/Subcontract. The full text of the FAR clauses referenced below may be found at https://www.acquisition.gov/Far/. Vendor/Consultant/Subcontractor agrees to flow down all applicable FAR and supplementary clauses to any lower-tier subcontractors working pursuant to this Purchase Order/Consultant Contract/Subcontract.

Where necessary to make the language of the FAR clauses applicable to this Purchase Order/Consultant Contract/Subcontract, the term "Contractor" shall mean "Vendor," "Consultant" or "Subcontractor" as appropriate, the term "Contract" shall mean the "Purchase Order," "Consultant Contract" or "Subcontract" as appropriate, the terms "Government," "Covered Entity," "Contracting Officer," and equivalent terms and phrases shall mean "Research Triangle Institute." Those clauses referenced herein containing monetary thresholds for applicability of an amount higher than the Purchase Order Total of the Purchase Order shall be deemed inapplicable to the Purchase Order, until such time as the Purchase Order Total has been increased to an amount greater than or equal to such monetary threshold. Those clauses referenced herein that contain requirements inapplicable to the Statement of Work shall be deemed inapplicable to the Purchase Order, until such time as the Statement of Work has been amended to include work where such clauses would apply.

No provision contained in a FAR clause shall be taken to imply any direct access on the part of the Vendor/Consultant/Subcontractor to the Disputes process as defined in the terms of RTI's Prime Contract, but rather shall be governed by the "Disputes" provision included in this Purchase Order/Consultant Contract/Subcontract.

The following instances are exceptions to the general rules as provided above:

- 1. Where it is clear, by the context of the provision itself or the conditions under which it is being applied, that the reference is intended to refer to the Government, its officers or agents, or the prime contractor specifically;
- 2. Where an explicit provision of the Purchase Order/Consultant Contract/Subcontract states a contrary intent;
- 3. Where access to proprietary financial information or other proprietary data is required; or
- 4. Where interpretation in accordance with the rules stated above would place the prime contractor in a position of violating the equivalent or related provisions of the Prime Contract whereas construction of the terms without modification would not.

| Clause Number | Title | Date |
|---------------|--|----------|
| 52.202-1 | Definitions | Nov 2013 |
| 52.203-3 | Gratuities | Apr 1984 |
| 52.203-5 | Covenant Against Contingent Fees | May 2014 |
| 52.203-6 | Restrictions on Subcontractor Sales to the Government | Sep 2006 |
| 52.203-7 | Anti-Kickback Procedures | May 2014 |
| 52.203-8 | Cancellation, Rescission and Recovery of Funds for Illegal and | May 2014 |
| 52.203-10 | Price or Fee Adjustment for Illegal or Improper Activity | May 2014 |
| 52.203-11 | Certification and Disclosure Regarding Payments to Influence Certain Federal Transactions | Sep 2007 |
| 52.203-12 | Limitation on Payments to Influence Certain Federal Transactions | Oct 2010 |
| 52.203-13 | Contractor Code of Business Ethics and Conduct | Oct 2015 |
| 52.203-14 | Display of Hotline Poster(s) | Oct 2015 |

| 52.203-17 | Contractor Employee Whistleblower Rights and Requirement to Inform Employees of Whistleblower Rights | Apr 2014 |
|--------------------|---|----------|
| 52.204-2 | Security Requirements | Aug 1996 |
| 52.204-4 | Printed or Copied Double-Sided On Recycled Paper | May 2011 |
| 52.204-9 | Personal Identity Verification of Contractor Personnel | Jan 2011 |
| 52.204-10 | Reporting Executive Compensation and First-Tier Subcontract Awards | Oct 2016 |
| 52.204-19 | Incorporation by Reference of Representations and Certifications | Dec 2014 |
| 52.208-8 | Required Sources for Helium and Helium Usage Data | Apr 2014 |
| 52.209-6 | Protecting the Governments Interest When Subcontracting with Contractors Debarred, Suspended, or Proposed for Debarment | Oct 2015 |
| 52.211-5 | Material Requirements | Aug 2000 |
| 52.211-15 | Defense Priority and Allocation Requirements | Apr 2008 |
| 52.214-26 | Audit and Records Sealed Bidding | Oct 2010 |
| 52.214-28 | Subcontractor Certified Cost or Pricing Data Modifications Sealed Bidding | Oct 2010 |
| 52.215-2 | Audit and Records—Negotiation | Oct 2010 |
| 52.215-2 (Alt. II) | Audit and Records—Negotiation | Aug 2016 |
| 52.215-10 | Price Reduction for Defective Cost or Pricing Data | Aug 2011 |
| 52.215-11 | Price Reduction for Defective Cost or Pricing Data - Modifications | Aug 2011 |
| 52.215-12 | Subcontractor Cost or Pricing Data | Oct 2010 |
| 52.215-13 | Subcontractor Cost or Pricing Data - Modifications | Oct 2010 |
| 52.215-14 | Integrity of Unit Prices (excluding paragraph (b)) | Oct 2010 |
| 52.215-15 | Pension Adjustments and Asset Reversions | Oct 2010 |
| 52.215-16 | Facilities Capital Cost of Money | Jun 2003 |
| 52.215-17 | Waiver of Facilities Capital Cost of Money | Oct 1997 |
| 52.215-18 | Reversion or Adjustment of Plans for Post Retirement Benefits (PRB) Other Than Pensions | Jul 2005 |
| 52.215-19 | Notification of Ownership Changes | Oct 1997 |
| 52.215-20 | Requirements for Cost or Pricing Data or Information other than Cost or Pricing Data | Oct 2010 |
| 52.215-21 | Requirements for Cost or Pricing Data or Information other than Cost or Pricing DataModifications | Oct 2010 |
| 52.215-22 | Limitations on Pass-Through Charges. Identification of Subcontract Effort | Oct 2009 |
| 52.215-23 | Limitation on Pass-Through Charges | Oct 2009 |
| 52.215-23 (Alt.I) | Limitation on Pass-Through Charges | Oct 2009 |
| | | |

| 52.216-4 | Economic Price Adjustment - Labor and Material | Jan 2017 |
|-----------|--|----------|
| 52.216-7 | Allowable Cost and Payment (Subcontractor agrees to execute assignment documents in order to comply with subsection (h) and is applicable if Cost type contract is contemplated) | Jun 2013 |
| 52.216-8 | Fixed Fee | Jun 2011 |
| 52.216-11 | Cost Contract – No Fee | Apr 1984 |
| 52.216-22 | Indefinite Quantity | Oct 1995 |
| 52.217-2 | Cancellation Under Multi-Year Contracts | Oct 1997 |
| 52.217-8 | Option to Extend Services | Nov 1999 |
| 52.219-8 | Utilization of Small Business Concerns | Nov 2016 |
| 52.219-9 | Small Business Subcontracting Plan | Jan 2017 |
| 52.219-16 | Liquidated Damages - Subcontracting Plan | Jan 1999 |
| 52.222-1 | Notice to Government of Labor Disputes | Feb 1997 |
| 52.222-3 | Convict Labor | Jun 2003 |
| 52.222-4 | Contract Work Hours and Safety Standards Act - Overtime Compensation | May 2014 |
| 52.222-17 | Nondisplacement of Qualified Workers | May 2014 |
| 52.222-20 | Walsh-Healey Public Contracts Act | May 2014 |
| 52.222-21 | Prohibition of Segregated Facilities | Apr 2015 |
| 52.222-26 | Equal Opportunity (subparagraphs (b)(1) through (b)(11) only) | Sep 2016 |
| 52.222-27 | Affirmative Action Compliance | Apr 2015 |
| 52.222-29 | Notification of Visa Denial | Apr 2015 |
| 52.222-35 | Equal Opportunity for Special Disabled Veterans, Veterans of the Vietnam Era, and Other Eligible Veterans | Oct 2015 |
| 52.222-36 | Affirmative Action for Workers with Disabilities | Jul 2014 |
| 52.222-37 | Employment Reports on Veterans | Feb 2016 |
| 52.222-40 | Notification of Employee Rights Under the National Labor Relations Act | Dec 2010 |
| 52.222-41 | Service Contract Act of 1965 | May 2014 |
| 52.222-50 | Combating Trafficking in Persons | Mar 2015 |
| 52.222-54 | Employment Eligibility Verification | Oct 2015 |
| 52.223-3 | Hazardous Material Identification and Material Safety Data | Jan 1997 |

| 52.223-3 (Alt. I) | Hazardous Material Identification and Material Safety Data | Jul 1995 |
|-------------------|---|----------|
| 52.223-6 | Drug Free Workplace | May 2001 |
| 52.223-7 | Notice of Radioactive Materials | Jan 1997 |
| 52.223-18 | Encouraging Contractor Policies to Ban Text Messaging While Driving | Aug 2011 |
| 52.224-1 | Privacy Act Notification | Apr 1984 |
| 52.224-2 | Privacy Act | Apr 1984 |
| 52.225-1 | Buy American Act – Supplies | May 2014 |
| 52.225-3 | Buy American Act—Free Trade Agreement—Israeli Trade Act | May 2014 |
| 52.225-5 | Trade Agreements | Oct 2016 |
| 52.225-8 | Duty Free Entry | Oct 2010 |
| 52.225-13 | Restrictions on Certain Foreign Purchases | Jun 2008 |
| 52.225-18 | Place of Manufacture | Mar 2015 |
| 52.225-25 | Prohibition on Contracting with Entities Engaging in Certain Activities or Transactions Relating to Iran. Representations and | Oct 2015 |
| 52.225-26 | Contractors Performing Private Security Functions Outside the United States | Oct 2016 |
| 52.227-1 | Authorization and Consent | Dec 2007 |
| 52.227-2 | Notice and Assistance Regarding Patent and Copyright Infringement | Dec 2007 |
| 52.227-3 | Patent Indemnity Alt. III | Apr 1984 |
| 52.227-9 | Refund of Royalties | Apr 1984 |
| 52.227-10 | Filing of Patent Applications—Classified Subject Matter | Dec 2007 |
| 52.227-11 | Patent Rights— Ownership by the Contractor | May 2014 |
| 52.227-13 | Patent Rights— Ownership by the Government | Dec 2007 |
| 52.227-14 | Rights in Data – General | May 2014 |
| 52.227-23 | Rights to Proposal Data (Technical) | Jun 1987 |
| 52.228-3 | Worker's Compensation Insurance | Jul 2014 |
| 52.228-5 | Insurance - Work on a Government Installation | Jan 1997 |
| 52.228-7 | Insurance - Liability to Third Persons | Mar 1996 |
| 52.228-8 | Liability and Insurance - Leased Motor Vehicles | May 1999 |
| 52.229-2 | North Carolina State and Local Sales and Use Tax | Apr 1984 |
| 52.229-10 | State of New Mexico Gross Receipts and Compensating Tax | Apr 2003 |

| 52.230-2 | Cost Accounting Standards | Oct 2015 |
|--------------------|--|----------|
| 52.230-3 | Disclosure and Consistency of Cost Accounting Practices | Oct 2013 |
| 52.230-5 | Cost Accounting Standards—Educational Institutions | Aug 2016 |
| 52.230-6 | Administration of Cost Accounting Standards | Jun 2010 |
| 52.232-1 | Payments | Apr 1984 |
| 52.232-7 | Payments Under Time and Materials and Labor Hour Contracts | Aug 2012 |
| 52.232-16 | Progress Payments | Apr 2012 |
| 52.232-20 | Limitation of Cost | Apr 1984 |
| 52.232-22 | Limitation of Funds | Apr 1984 |
| 52.232-39 | Unenforceability of Unauthorized Obligations | Jun 2013 |
| 52.232-40 | Providing Accelerated Payments to Small Business Subcontractors | Dec 2013 |
| 52.234-1 | Industrial Resources Developed Under Defense Production Act Title III | Sep 2016 |
| 52.236-13 | Accident Prevention | Nov 1991 |
| 52.237-7 | Indemnification and Medical Liability Insurance | Jan 1997 |
| 52.237-9 | Waiver of Limitation on Severance Payments to Foreign Nationals | May 2014 |
| 52.242-1 | Notice of Intent to Disallow Costs | Apr 1984 |
| 52.242-13 | Bankruptcy | Jul 1995 |
| 52.242-15 | Stop Work Order | Aug 1989 |
| 52.242-15 (Alt I.) | Stop Work Order (Cost Reimbursement) | Apr 1984 |
| 52.243-1 | Changes - Fixed Price | Aug 1987 |
| 52.243-2 | Changes—Cost Reimbursement | Aug 1987 |
| 52.243-3 | Changes—Time and Material or Labor Hours | Sep 2000 |
| 52.244-2 | Subcontracts (paragraphs (h) and (i) only) | Oct 2010 |
| 52.244-5 | Competition in Subcontracting | Dec 1996 |
| 52.244-6 | Subcontracts for Commercial Items | Nov 2017 |
| 52.245-1 | Government Property | Jan 2017 |
| 52.245-2 | Government Property Installation Operation Services | Apr 2012 |
| 52.246-2 | Inspection of Supplies—Fixed Price | Aug 1996 |
| 1 | | |

| Inspection of Supplies (Cost Reimbursement) ("Contracting Officer" means "RTI Subcontract Administrator" and "Government" means "RTI and Government" (an inspection system accepted by the Government will be deemed accepted by RTI) and where "Government" first appears n paragraph (k) it shall mean "RTI and the Government." The provisions in this clause for access, right to inspect, safety protection, and relief from liability apply equally to RTI and the Government) 52.246-4 Inspection of Services (Cost Reimbursement) ("Contracting Officer" means "RTI Subcontract Administrator" and "Government" means "RTI and Government" (an inspection system accepted by He Government will be deemed accepted by RTI) and where "Government" first appears n paragraph (k) it shall mean "RTI and the Government." The provisions in this clause for access, right to inspect, safety protection, and relief from liability apply equally to RTI and the Government) Inspection of Time and Material and Labor Hour ("Contracting Officer" means "RTI Subcontract Administrator" and "Government" means "RTI and Government" (an inspection system accepted by the Government will be deemed accepted by RTI) and where "Government will be deemed accepted by RTI) and where "Government" first appears n paragraph (k) it shall mean "RTI and the Government." The provisions in this clause for access, right to inspect, safety protection, and relief from liability apply equally to RTI and the Government) Responsibility for Supplies | 1996 |
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| Inspection of Services (Cost Reimbursement) ("Contracting Officer" means "RTI Subcontract Administrator" and "Government" means "RTI and Government" (an inspection system accepted by the Government will be deemed accepted by RTI) and where "Government" first appears n paragraph (k) it shall mean "RTI and the Government." The provisions in this clause for access, right to inspect, safety protection, and relief from liability apply equally to RTI and the Government) Inspection of Time and Material and Labor Hour ("Contracting Officer" means "RTI Subcontract Administrator" and "Government" means "RTI and Government" (an inspection system accepted by the Government will be deemed accepted by RTI) and where "Government will be deemed accepted by RTI) and where "Government" first appears n paragraph (k) it shall mean "RTI and the Government." The provisions in this clause for access, right to inspect, safety protection, and relief from liability apply equally to RTI and the Government) Perponsibility for Supplies | 1984 |
| Officer" means "RTI Subcontract Administrator" and "Government" means "RTI and Government" (an inspection system accepted by the Government will be deemed accepted by RTI) and where "Government" first appears n paragraph (k) it shall mean "RTI and the Government." The provisions in this clause for access, right to inspect, safety protection, and relief from liability apply equally to RTI and the Government) Inspection of Time and Material and Labor Hour ("Contracting Officer" means "RTI Subcontract Administrator" and "Government" means "RTI and Government" (an inspection system accepted by the Government will be deemed accepted by RTI) and where "Government will be deemed accepted by RTI) and where "Government." The provisions in this clause for access, right to inspect, safety protection, and relief from liability apply equally to RTI and the Government) Perponsibility for Supplies | |
| Officer" means "RTI Subcontract Administrator" and "Government" means "RTI and Government" (an inspection system accepted by the Government will be deemed accepted by RTI) and where "Government" first appears n paragraph (k) it shall mean "RTI and the Government." The provisions in this clause for access, right to inspect, safety protection, and relief from liability apply equally to RTI and the Government) Responsibility for Supplies | 2001 |
| Responsibility for Supplies | |
| 52.246-16 Responsibility for Supplies Apr 1 | 1984 |
| Capability to Perform a Contract for the Relocation of a Federal S2.247-3 Office Feb 2 | 2006 |
| 52.247-63 Preference for U.Sflag Carriers Jun 2 | 2003 |
| 52.247-64 Preference for Privately Owned U.SFlag Commercial Vessels Feb 2 | 2006 |
| 52.248-1 Value Engineering Oct 2 | 2010 |
| Termination for the Convenience of the Government (Fixed Price) (Short Form) ("Government" shall mean "RTI") Apr 1 | 1984 |
| Termination for the Convenience of the Government (Fixed Price) ("Government" shall mean "RTI") Apr 2 | 2012 |
| 52.249-6 Termination (Cost Reimbursement) May 2 | 2004 |
| 52.249-6 (Alt. IV) Termination (Time & Materials or Labor Hour) Sep 1 | 1996 |
| 52.249-8 Default (Firm Fixed Price contracts for Supplies and Services) Apr 1 | 1984 |
| 52.249-14 Excusable Delays Apr 1 | 1984 |
| 52.252-2 Clauses Incorporated by Reference Feb 1 | |